

CONFIDENTIAL

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Fund Oversight & Intelligence

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Alto AXC modular solution

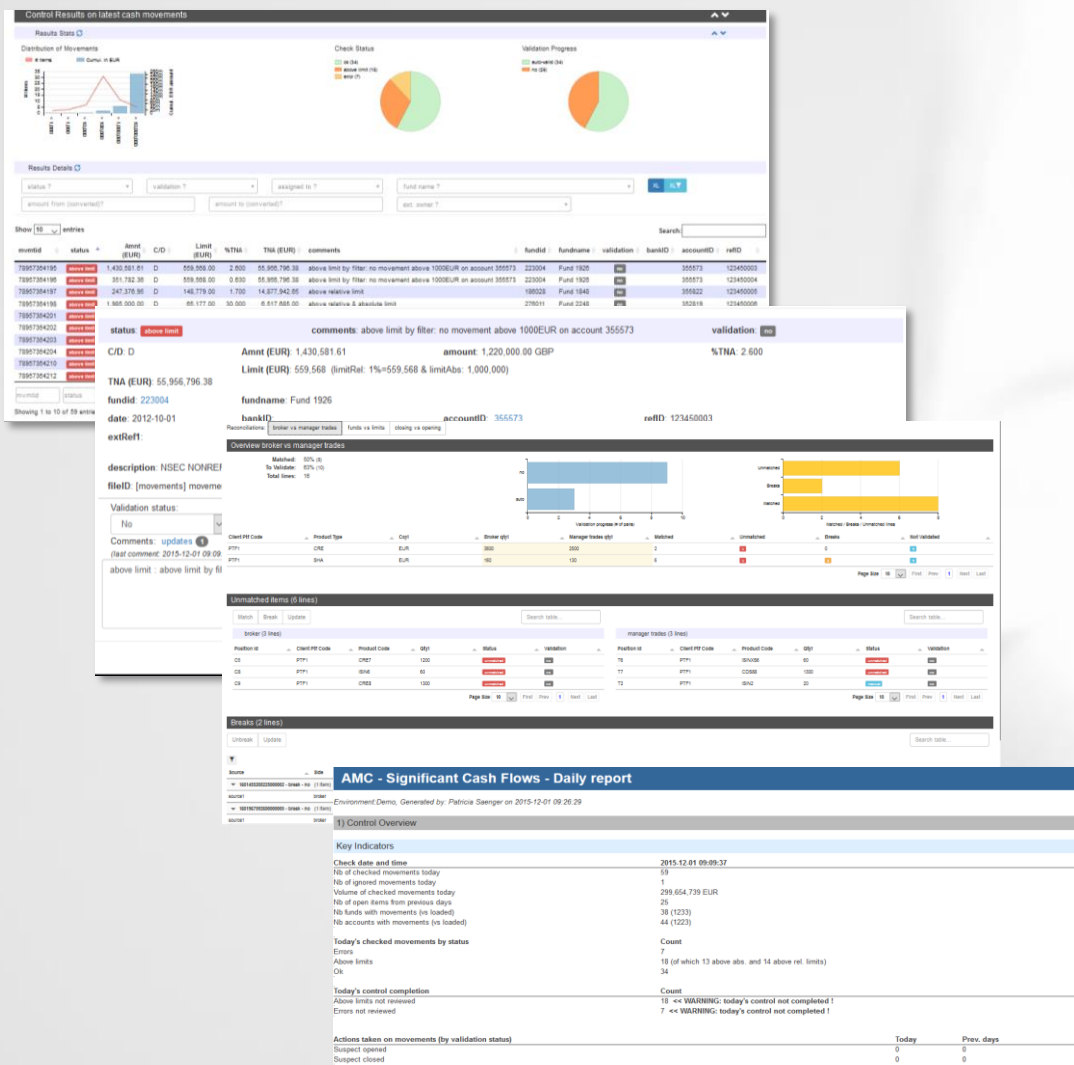
- Cash Monitoring
 - **AMC** to perform significant and inconsistent cash flows identification allowing to monitor large number of movements with rules and machine learning
 - **ARC** to monitor reconciliations performed by 3rd parties by implementing a control plan on cash reconciliation results
- Safekeeping
 - **APR** to record positions on any type of instrument from OTC to PE & RE with look-through, confirmation follow-up and reconciliation support (ownership tracking)
 - **ATS** to monitor securities reconciliations & timely settlement performed by 3rd parties by implementing a control plan on pending results



- Oversight, Data & Documents
 - **AIR** to monitor NAV plausibility (benchmarking) & investment restrictions with a powerful engine for automated rules and tracking of non automated rules (e.g. private Capital funds)
 - **ARK** to perform any reconciliation easily and track pending items
 - **ACK/Cockpit** is a solution to manage all data, control plans & check lists
 - **ADD** to automate due diligence, manage data and risks on any counterparty
 - **AMR/ATM** solutions to monitor TA transactions for massive & inconsistent subscription / redemptions and integrate with controls on AML/PEP
 - **APAAS** is a solution that extracts structured information from unstructured documents (e.g. Prospectus PDF) to keep up-to-date data on counterparties, operational data and investment rules
 - **AIN** is a solution to prepare and follow-up outgoing invoices for services provided to funds or clients

In production since 2013 for tier 1 fund industry clients covering over **10 000** sub-funds in **10** countries under UCITS & AIFMD

Demo: AXC — Efficiently manage data, perform controls, ensure compliance...



- ✓ Analyze thousands of transactions daily
- ✓ Search, navigate, validate easily
- ✓ Flexible rule engine
- ✓ Transform Pdf into structured data
- ✓ Reconcile any data from any source
- ✓ Rule-based matching with built-in scoring
- ✓ 4 eyes control
- ✓ Archiving
- ✓ Reporting
- ✓ Audit trails

Benefits



Over 15 implementations for depository providers



Built by depository experts for depository professionals



Time to efficiency and ROI without disrupting your operations



Flexible and scalable solutions that fit your processes

Depository specialists with technology background



Established and
profitable since
2009



Luxembourg House of Financial Technology

Member since its
inception in
2016

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