

Fenergo Client & Investor Lifecycle Management for Asset Servicing

Digitally Transform the End-to-End Client and Investor Onboarding Experience.



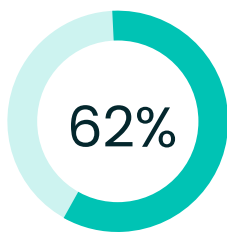
The Client & Investor Onboarding Challenge

Asset Servicing firms are under increasing pressure to deliver seamless, frictionless experiences to both Clients and Investors, all whilst complying with complex regulatory compliance requirements.

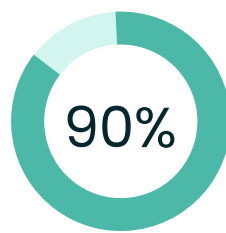
Competing within a financial services industry undergoing significant digital transformation, Asset Servicing firms are still leveraging manual, siloed processes to serve their clients, distributors and investors. These processes are often expensive, people-intensive, inefficient and unscalable, resulting in poor investor experience and increased abandonment rates.

In order to stay competitive, Asset Servicing firms need to digitally transform their operating model by leveraging a technology solution which allows for a comprehensive but frictionless due diligence process, reducing investor outreach, and enhancing the Client and Investor experience.

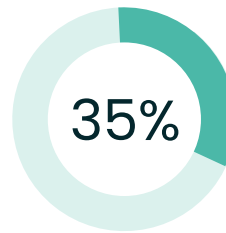
Did you know?



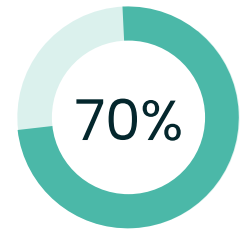
of asset managers consider digital capabilities to be the main driver for selecting specific (asset servicing) providers per activity / product¹



of financial institutions admit that laborious KYC effort impacts their firms' abilities to make better risk decisions²



of financial institutions consider KYC backlogs to be the biggest challenge facing the compliance function²



of asset servicers believe a seamless, customized investment experience is a top expectation for investors.¹

Sources: 1. Deloitte Asset Servicers Survey, 2021, 2. Fenargo KYC Trends Report, 2022

Solve the Challenge with Fenargo

Fenargo Client and Investor Lifecycle Management (CLM) enables Asset Servicing firms to digitally transform and optimize end-to-end due diligence processes for Clients, Funds, Investors and Distributors. Designed with the Client and Investor experiences in mind, it enables Asset Servicing firms to future-proof regulatory compliance and enhance operational efficiency through user-friendly, automated and cost-efficient processes.

Key Features & Functionality



Simplified KYC & Onboarding

of Clients, Funds, Investors and Distributors via a dynamic, rules-based workflow and supported by an in-built risk assessment tool.



Digital Self-Service Portal

supported by eID&V and eSignature to allow investors to access and upload data and documents on-demand.



Regulatory Rule Engine

providing centralized coverage for regulations including AML, KYC, MiFID, AIFMD, Tax and more, as well as Data Privacy frameworks across the organisation.



Advanced Reporting & Dashboards

providing your organization with the operational and compliance data and insights needed to make informed decisions, fulfill regulatory and client reporting obligations.



Automated Client & Investor Screening and Data Sourcing

powered by advanced integrations to industry-leading data and screening providers.



No-Code Configuration & Change Management

to facilitate quick implementation of policy and risk model changes, allowing asset servicing firms to cater quickly and easily to client and fund nuances, whilst reducing total cost of ownership.

Fenergo CLM for Asset Servicing delivers

A Single Platform across the Investor Lifecycle

Fenergo CLM digitally transforms and streamlines end-to-end Know Your Customer (KYC) and Anti-Money Laundering (AML) compliance throughout client and investor lifecycle management processes – from onboarding where we enable, efficient investor and counterparty data management through integrations, compliance reviews and remediation, all the way to investor offboarding.

It reduces silos and increases transparency between compliance and operational teams, accelerating digital transformation and vastly improving both the investor, client and internal user experiences, while greatly reducing operating costs and risks.

Fast & Frictionless Investor & Client Onboarding

Fenergo Client and Investor Lifecycle Management transforms manual client onboarding and ongoing account maintenance processes into digitalized workflows that are user-friendly, flexible and cost-efficient. Our digital solutions drastically reduce onboarding times, minimize outreach during the onboarding and KYC refresh processes, and streamline operational processes with end-to-end case orchestration and a single, centralized, transparent investor view

Reduced Total Cost of Ownership

Fenergo Client & Investor Lifecycle Management connects all elements of the onboarding and ongoing maintenance processes including: data, documents, ownership & control, risk assessment and AML screening.

Code-free, drag and drop configuration empowers your users to make configuration changes directly through the Fenergo user interface.

Compliance By Design

Fenergo CLM delivers a best-practice approach to regulatory compliance with a wide range of local and global regulations across 120+ jurisdictions, including AML, KYC, Tax (FATCA, CRS, DAC 6), data privacy and more.

Discover the Benefits of Fenergo CLM

○ Digitalized, Efficient Investor Journeys

Improves client onboarding times by 82% and reduces onboarding touchpoints by up to 90%, application abandonment by 25% and KYC review times by 37%.

○ Better Client & Investor Experience

Leverage integrations to our external data provider partners and centralize investor lifecycle management processes in one place, minimizing the need for investor outreach. API integrations with internal and Fenergo investor portals empowers investors to self-serve, enabling one-time, digital and convenient collection of information.

○ Accelerated Deployment

Offering pre-packaged KYC Rules, Risk Models and Investor Lifecycle Workflows as standard, created by our in-house industry experts, Fenergo works to your required implementation timelines, with clients achieving production readiness in as little as 8 weeks.

○ Improved Financial Crime & Compliance

Fenergo's risk-based and rules-driven approach to AML/KYC and regulatory compliance ensures regulatory assurance through every stage of the investor lifecycle, reducing the risk of non-compliance and related penalties. Its risk by exception ensures resources can be focused on high risk investors, leaving the lower risk ones to be Straight-Through Processed.



fenergo

Request a Demo

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