BENEFITS OF cascade

The Complete Compliance, Administration and Governance Platform

Cascade is an award winning SaaS platform that centralizes client data by structuring registries of clients and counterparties and providing a complete AML/KYC framework

The problem with the stand alone name screening systems model

Market players often use a combination of excel databases and name screening systems as part of their AML/KYC duties, however, in Cascade's view this is a recipe for highly ineffective, risky and very time-consuming AML and compliance processes. Firms and teams need to juggle data between their excels, name screening system and other folders. As a result the data is rarely up to date and all this before we look at the struggles relating to reporting and compliance obligations.

Cascade will save you on average 50% of the time spent on AML/Compliance related duties:

- Digitising the AML/KYC procedures we allow users to follow a structured and consistent process
- Allowing a complete Risk based approach to be executed via a single system
- Offering integrated daily name screening vs PEP's, sanctions and adverse media
- Providing a central dashboard for a live overview of the AML status of each client file with alerts on next risk reviews
- Monitoring missing documents and ID expiration dates
- Logging AML related follow ups
- Maintaining clean registries of clients and counterparties with their dependencies and generating respective orgcharts
- Including an in-built task and project management system
- Providing a full audit trail of blocked accounts, creating prohibited client lists
- Incorporating customisable features by the user with "no coding" required
- Storing logs for ongoing monitoring

- Onsite controls
- Policy, Plans and Procedures
- Mandatory training
- Recommendations

- Fiancial intelligence unit reports
- Refused relationships
- Conflicts of interest
- PEPs and high-risk relationships
- Registry of Complaints

cascade

The Complete Compliance, Administration and Governance Platform

IN PRACTICAL TERMS, BY USING CASCADE, YOU CAN

- Convert your Compliance procedures into a digitized risk-based framework
- Set up your own users, roles and authorities
- Personalise questionnaires, risk scores, documents required and country risk for a bespoke set up
- Be in control of your compliance status 24/7/365
- Understand the relationships with your clients and counterparties
- Create workflows for staff to be guided through the compliance processes
- White label multiple pre-set reports
- Reduce staff training time

CASCADE KEEPS YOU ON TOP OF YOUR COMPLIANCE BACKLOG

CASCADE GIVES YOU PIECE OF MIND

www.cascade.lu